

**OFFICE USE ONLY:** New or Renewal Date \_\_\_\_/\_\_\_\_/\_\_\_\_ License # \_\_\_\_ F.P. \_\_\_\_  
 Total Fees \_\_\_\_ Receipt/Tran ID # \_\_\_\_ Approved by: \_\_\_\_  
 Cash \_\_\_\_ Check # \_\_\_\_ M.O. # \_\_\_\_ Clerk \_\_\_\_

## Owners Only!



**You may choose between the RCI Multi-Jursidiction Licensing Program or the National Racing Compact Licensing Program using this form.**



As a racehorse or greyhound owner who may wish to participate in several different racing jurisdictions, you can simplify the process by completing this application for an OWNER'S license and send it to any of the racing jurisdictions listed (see the last page of this form) you'll be racing in. If you have a good background, consider using the National Racing Compact Program, for a single national license that is widely accepted (24 jurisdictions). This form is only for those owners who will not be applying for any other type of license (i.e., trainer, driver, etc.) If you will be applying for another type of license, please contact those jurisdictions or the Compact for an application. Some racing commissions may require additional information from you.

**Please refer to the last page of this form for a list of participating jurisdictions and fee schedule.**

**Check Breed(s)** ☐ Thoroughbred ☐ Standardbred ☐ Quarter Horse ☐ \*Greyhound (\* Use RCI Multi Program only)

- Applicant Name \_\_\_\_\_  
 Last First Middle Maiden
- Have you ever used an assumed name or been known by another name or been licensed under an assumed or different name? If yes, give name(s) ☐ Yes ☐ No
- Marital status? Married \_\_\_\_\_ Single \_\_\_\_\_ Divorced \_\_\_\_\_ Widowed \_\_\_\_\_  
 If married, full name of spouse, including maiden name: \_\_\_\_\_
- Last licensed in: \_\_\_\_\_  
 Year Type of License Name of State
- List latest dates fingerprinted and what states printed you: \_\_\_\_\_  
 Month & Year(s) Printed In what State(s)
- Telephone numbers: ( ) ( ) ( )  
 Home # Business # Fax #
- Person to be notified in case of emergency: \_\_\_\_\_ Telephone: ( )

8. Social Security No.*	Sex	Height	Weight	Color Hair	Color Eyes	Date of Birth	Age
- -						/ /	

\* Providing Social Security Number may be voluntary and will be used as a secondary identifier; however, some jurisdictions do mandate you provide it.

Mo Day Year

- Are you a U.S. Citizen? ☐ Yes ☐ No If no, what country are you a citizen of? \_\_\_\_\_  
 Place of Birth \_\_\_\_\_ City/State Immigration I.D. number (if applicable) A- \_\_\_\_\_
- USTA/SC Membership Number \_\_\_\_\_ (If applicable) USTA/SC Membership Exp. Date: \_\_\_\_\_
- Permanent mailing address: \_\_\_\_\_  
 (at which service all papers may be made upon you) Street  
 City State/Province Postal Zip/Country
- Local address: \_\_\_\_\_  
 Street  
 City State/Province Postal Zip/Country

13. Give the following information relative to your current employer. If self-employed, so indicate:

Employment Dates

Name of Employer

Address (Street, City, State, Zip)

14. List your occupation here: \_\_\_\_\_ If self-employed, list type of business: \_\_\_\_\_

15. Are you under an obligation to pay child support? ☐ Yes ☐ No If yes, are you 4 months or more in arrears? ☐ Yes ☐ No

**Questions 16(a-h) MUST be answered "yes" or "no". Give details in space provided.**

	Yes	No
16.a. Has your or your spouse's racing license ever been <b>denied, suspended</b> for more than 7 days or <b>revoked</b> ?		
b. Has any other type of license or permit of you or your spouse ever been <b>suspended, denied or revoked</b> ?		
c. Have you ever been <b>expelled, discharged, or ejected</b> from any race track or <b>fined</b> more than \$100?		
d. Have you or your spouse ever been <b>convicted</b> (including by nolo contendere) of, or forfeited bail on, any <b>felony or misdemeanor</b> criminal offense (including DWI or DUI)?		
e. Are there any criminal charges currently pending anywhere against you or your spouse?		
f. Are you or your spouse currently on parole or probation?		
g. Are there any outstanding civil judgments against you or your spouse?		
h. Do you have a position with a race commission, racetrack, political party or in government?		

**For each "yes" above, you must provide full details below (when, where, what). Use a separate sheet if needed.**

Date	State/Track	Specific Violation, Crime, or Debt	Penalty (Sentence w/parole end-date)

**17. Statement of Ownership (including questions 18 & 19)**

<b>OWNERSHIP:</b> Number of horses or greyhounds in training ( _____ ), listing below those you plan to race this year (and if owned by partners or entity, or leased, so designate.)					
Horse/Greyhound Name	Age	Trainer's Full Name	Ownership Name on Registration Papers	% Owned	Breed (T/S/Q/G)

18. If you listed a **Stable Name** or **Ownership Entity** (a partnership, corporation, etc.) as owner of a horse or greyhound under Question 17, please tell us about the **individual persons** under that name holding any interest in those horses or greyhounds. Please check with each state in which you plan to race, or with the Compact (whose licensees can use a single stable/entity form in multiple states), to determine if they require a separate **Stable or Entity** registration form be filed, in addition to this application.

Name	Address (street, city, state & zip)	Name of Horse or Greyhound	% Owned

19. Is your horse leased? \* ☐ Yes ☐ No

\* A copy of the lease agreement(s) must be attached and submitted with this application. Note: Virginia & Michigan require all leases be notarized.

\_\_\_\_\_  
Name of Lessor (owner)

\_\_\_\_\_  
Name of Lessee

**If additional space is needed for any answers, please use a separate sheet of paper and submit it with this form.**

20. If you will be appointing an **Authorized Agent**, please list agent's name here: \_\_\_\_\_

21. Are you obligated to have worker's compensation insurance covering employees in connection with racing? If yes, indicate the following: \_\_\_\_\_

Company Name\*      Policy Number      Expiration Date      Name of Policyholder

\* (A copy of your worker's compensation certificate of insurance must be attached and submitted with this application.)

22. Are you a **New York State** public employee, elected public official, political party officer, or police officer?  
☐ Yes    ☐ No    **IF YES**, contact the NYS Racing Board or [www.racing.state.ny.us](http://www.racing.state.ny.us) for Form PE-1.

I consent to a background investigation and report, including information from personal interviews with third parties (family, business, financial sources, etc.) and of my character and general reputation. I consent to a search within the grounds of any racetrack or racing association of my person and property, including premises and vehicles that I have the right to (or do) occupy or control, and to the seizure of articles related to unlawful conduct. I consent to be subject to the subpoena powers of authorized regulatory agencies and to written requests in lieu thereof, and shall provide any such agency with all information and documents it may request.

I agree that participating in racing is a privilege not a right, that my license is subject to conditions precedent in the rules of racing, that failure to comply with those rules shall be grounds for immediate suspension or revocation of my license, and that rulings in one jurisdiction may be applied in others including directly on my National Racing Compact ("Compact") license.

I agree to abide by all applicable rules and regulations where I race or am licensed, including conflict of interest rules of each jurisdiction, and affirm that my use of my license will not violate them, and I accept that my license may be suspended or revoked at any time for misstatements or omissions I make in my application. When I submit with this application a fingerprint card designating the Compact as an entity to which the Federal Bureau of Investigation ("FBI") is authorized to send my criminal history record report ("CHR"), I agree that I am also authorizing each racing commission (or its equivalent) of a state that is a member of the Compact to receive and retain the criminal history record report and if I fail to indicate on my fingerprint card that the FBI is authorized to send that report to such racing bodies, I authorize the Compact to do so for me. I consent to the Compact keeping my fingerprints on file for later use, such as making a fingerprint submission for any State where I plan to race that seeks my state CHR, for any disciplinary action relating to me, or for renewal of my Compact license, and I authorize those and related uses.

I hereby certify, under penalty of perjury and knowing that false statements herein may be prosecuted as a crime, that I have read the foregoing form and affirm that every statement herein is true and correct.

**Illinois Applicants:** The Illinois Racing Board may refuse to issue or may suspend the occupation license of any person who fails to file a return, or to pay the tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue until such time as the requirements of any such tax Act are satisfied.

**New York Applicants:** The authority to request personal information from you, including numbers such as Federal social security and Federal employer identification numbers, and the authority to maintain such information is found in Section 5 of the New York Tax Law. Disclosure of this information by you is mandatory. The principal purpose for which the information is collected is to enable the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or who may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for identification and licensing purposes and for any other purpose authorized by the New York State Racing and Wagering Board which may include use in a multi-state licensing database. An investigative consumer report may be requested in connection with this application. Your signature authorizes the Racing and Wagering Board to obtain such a report. You may ask in writing whether or not a report was requested and the name and address of the consumer reporting agency used.

**NOTE: If using the RCI Multi- Program, please be sure to make copies of this form before signing!**

\_\_\_\_\_  
\* **Signature of Applicant**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**E-mail Address** (Optional)

\* Use as a Florida Application Requires the Applicant's signature to be Notarized.

**Instructions for the RCI Multi-State Program** **GENERAL INFORMATION.** All race jurisdictions listed within the fee structure on the next page accept this license application form. For RCI fingerprintcards or additional information, contact RCI headquarters at (859) 224-7070 or visit [www.arci.com](http://www.arci.com). **APPLICATIONS.** Before signing, make a copy of this completed application form for each jurisdiction you'll race in, then sign each copy with your original signature. Deliver or mail one signed copy and the applicable fee(s) to each jurisdiction where you seek an owner's license. **You must send the application and applicable fees to each one; jurisdictions will not forward copies for you.** **FINGERPRINTS.** Some States require first-time licensees to submit a fingerprint card and an extra fee of \$34. If you use an RCI fingerprint card, you only have to submit one fingerprint card to one listed jurisdiction. To have your fingerprint reports sent to the others, where you plan to race, mark those jurisdictions (up to nine) on the back of the RCI fingerprint card. You can be fingerprinted at a racetrack or by the local police or sheriff (bring an RCI fingerprint card with you; most racetracks have a supply). Renewing licensees might be fingerprinted periodically, and will be advised by each jurisdiction. **FEES.** **Participating jurisdictions' licensing fees are listed on the next page**, with directions to help you properly make out your check(s). **WHERE TO MAIL.** Completed fingerprints and a check or money order (no cash) may be mailed to the racing commission that requires your prints or where you plan next to race. A signed copy of this application and applicable license fee must accompany the completed fingerprint card and fee (for which a separate check may be required). Refer to the fee list schedule to calculate your fees. For RCI fingerprint cards and for further information you can contact RCI at (859) 224-7070 or [www.arci.com](http://www.arci.com). See the next page for instructions on the National Racing Compact licensing program.

# Jurisdictions and Fee Schedule

(Code for Fees: O=Owner H=Harness TB=Thoroughbred Q=Quarter Horse GH=Greyhound)

<b>Arkansas State Racing Commission</b> P.O. Box 3076 Little Rock, AR 72203 Ph: 501-682-1467; Fax: 501-682-5273 O @ \$20 payable to ASRC No prints required	<b>Iowa Racing and Gaming Commission</b> One Prairie Meadows Dr. Altoona, IA 50009-0901 Ph: 515-967-1260; Fax: 515-967-1290 O @ \$10 payable to IRGC (2 YR LIC) Prints \$34 payable to RCI	<b>Mobile County Racing Commission</b> P.O. Box 1886 Mobile, AL 36633 Ph: 251-653-4820; Fax: 251-653-4850 O @ \$25 payable to MCRC No Prints Required	<b>Pennsylvania HARNESS Racing Commission</b> P.O. Box 427 Meadow Lands, PA 15347 Ph: 724-223-4585; Fax: 724-223-4305 O @ \$60 ( <b>Only offer 3 YR LICENSE</b> ) Prints \$40 on PA fingerprint cards every 3 yrs.
<b>California Horse Racing Board</b> 1010 Hurley Way, Suite 300 Sacramento, CA 95825 Ph: 916-263-6000; Fax: 916-263-6042 O @ \$150 payable to CHRB (Only offer 3 YR LICENSE) Only State Prints Required	<b>Jamaica Racing Commission</b> P.O. Box 309 Kingston 10, Jamaica Ph: 876-926-2727; Fax: 876-926-2207 O @ \$3,000 Jamaican dollars payable to JRC. Require 2 passport size photos No Prints Required	<b>Nebraska State Racing Commission</b> P.O. Box 95014 Lincoln, NE 68509 Ph: 402-471-4155; Fax: 402-471-2339 O @ \$30 payable to NSRC Prints \$38 payable to NSRC	<b>Puerto Rico Racing Sport Administration</b> Administracion del Deporte Hipico Apartado P.O. Box 29156 65th Infanteria Station Rio Piedras, PR 00929-0156 Ph: 787-768-2005; Fax: 787-762-1105 NEW: O @ \$150 RENEW: O @ \$100; \$400 4 YR payable to PRRSA. No Prints Required.
<b>Colorado Division of Racing Events</b> 1881 Pierce Street, Suite 108 Lakewood, CO 80214-1494 Ph: 303-205-2990; Fax: 303-205-2950 NEW: O @ \$75 (incl. State and FBI prints) (\$85 if state & RCI prints—RCI prints payable to RCI) RENEW: O @ \$25. Pay all to CDRE, except RCI pmts.	<b>Kentucky Horse Racing Authority</b> 4063 Iron Works Parkway Lexington, KY 40511-8434 Ph: 859-246-2040; Faxed apps. not allowed O @ \$100 payable to KRC No Prints Required	<b>New Hampshire Pari-Mutuel Commission</b> 78 Regional Dr., Suite 3 Concord, NH 03301-8530 Ph: 603-271-2158; Fax: 603-271-3381 H-O @ \$25; GH- O @ \$20; w/colors @ \$25 All payable to NHPMC. No Prints Required.	<b>Texas Racing Commission</b> 8505 Cross Park Drive, Suite 110 Austin, TX 78754-4594 Ph: 512-833-6699; Fax: 512-833-6907 O @ \$75 (1 YR LIC); \$150 (2 YR LIC); \$225 (3 YR LIC) payable to TXRC. Prints \$34 payable to RCI.
<b>Delaware HARNESS Racing Commission</b> 2320 South Dupont Highway Dover, DE 19901 Ph: 302-698-4599; Fax: 302-697-4748 O @ \$50 (1 YR LIC); O @ \$150 (3 YR LIC) Prints \$54 if processed through DHRC payable to DHRC; \$34 if sent payable to RCI.	<b>Louisiana State Racing Commission</b> 320 North Carrollton Ave. Suite 2-B New Orleans, LA 70119 Ph: 504-483-4000; Fax: 504-483-4898 O @ \$25 (1 YR LIC); O @ \$75 (3 YR LIC); Colors fee: 1 YR @ \$25; 3 YR @ \$75. All payable to LSRC. No Prints Required	<b>New Jersey Racing Commission</b> P.O. Box 088 Trenton, NJ 08625 Ph: 609-292-0613; Fax: 609-599-1785 O @ \$50 (1 YR LIC); \$150 (3 YR LIC) payable to NJRC. <b>One time NJ State prints \$40</b> and RCI prints \$34 payable to RCI.	<b>Virginia Racing Commission</b> 10700 Horseman's Road New Kent, VA 23124 Ph: 804-966-7412; Fax: 804-966-7422 O @ \$10 payable to VRC Prints \$37 on VA print card payable to VRC.
<b>Delaware Thoroughbred Racing Commission</b> 777 Delaware Park Blvd. Wilmington, DE 19804 Ph: 302-994-2521 #7148; Fax: 302-633-9849 O @ \$50 (1 YR LIC); O @ \$150 (3 YR LIC) Prints \$54 if processed through DTRC payable to DTRC; \$34 if sent payable to RCI.	<b>Maine Harness Racing Commission</b> 28 State House Station, Derrington Building Augusta, ME 04333-0028 Ph: 207-287-3221; Fax: 207-287-7548 O @ \$35 payable to MHRC No Prints Required	<b>New Mexico Racing Commission</b> 300 San Mateo N.E., Suite 110 Albuquerque, NM 87108 Ph: 505-841-6400; Fax: 505-841-6413 O @ \$100 (! YR LIC) O @ \$120 (3 YR LIC) payable to NMRC. Prints \$34 payable to RCI.	<b>Washington Horse Racing Commission</b> 6326 Martin Way, Suite 209 Olympia, WA 98516 Ph: 360-459-6462; Fax: 360-459-6461 O @ \$226 payable to WHRC (includes \$150 payment for Worker's Com, \$66 lic fee, \$10 State of WA fingerprint fee- (required every 3 years))
<b>*Florida Division of Pari-Mutuel Wagering</b> 1940 North Monroe Street Tallahassee, FL 32399-1037 Ph: 850-488-9130; Fax: 850-488-0550 O @ \$40 (1 YR LIC); \$120 (3 YR LIC) pay to DBPR/PMW. If Florida prints used, print fee is \$47 payable to DBPR/PMW. If RCI prints used, print fee \$34 payable to RCI	<b>Maryland Racing Commission</b> 300 E. Townsontowne Boulevard Towson, MD 21286 Ph: 410-853-1674; Fax: 410-853-1668 NEW: O @ \$50; RENEW: O @ \$25 Call for other charges; State Prints Only - Payable to <b>CJIS</b>	<b>New York State Racing and Wagering Board</b> 1 Broadway Center, Suite 600 Schenectady, NY 12305-2553 Ph: 518-395-5400; Fax: 518-347-1439 NEW: O @ \$209- includes print fees. RENEW: O @ \$50/year up to 3 consec. years 2 self photos. All payable to NYSRWB.	<b>West Virginia Racing Commission</b> 106 Dee Drive Charleston, WV 25311 Ph: 304-558-2150; Fax: 304-558-6319 O @ \$15 payable to WVRC Prints \$34 payable to WVRC. Send apps to Track.
<b>Illinois Racing Board</b> 100 West Randolph Street, Suite 7-701 Chicago, IL 60601 Ph: 312-814-2600; Fax: 312-814-5062 O @ \$25 payable to IRB; Prints \$45 <b>Illinois State/FBI fingerprint card required.</b>	<b>Massachusetts State Racing Commission</b> 1 Ashburton Place, Room 1313 Boston, MA 02108 Ph: 617-727-2581; Fax: 617-227-6062 TB & H O @ \$30 payable to MSRC No Prints Required	<b>Ohio State Racing Commission</b> 77 South High Street, 18th Floor Columbus, OH 43215-6108 Ph: 614-466-2757; Fax: 614-466-1900 O @ \$50 payable to OSRC Prints \$34 payable to OSRC	
<b>Indiana Horse Racing Commission</b> At Indiana Downs 4425 N 200 West Shelbyville, IN 46176 Ph: 317-713-3350; Fax: 317-713-3355 O @ \$35 payable to IHRC Prints \$34 payable to IHRC	<b>Michigan Department of Agriculture Office of Racing Commissioner</b> P.O. Box 30773 Lansing, MI 48909 Ph: 517-335-1420; Fax: 517-241-3018 O @ \$25 payable to State of Michigan Prints @ \$34 payable to State of Michigan	<b>Ontario Racing Commission</b> 10 Carlson Court, Suite 400 Toronto, Ontario M9W 6L2 Canada Ph: 416-213-0520; Fax: 416-213-7827 NEW: O @ \$120 (CND) RENEW: O @ \$100 (CND) No Prints Required	

\* Use as a **Florida** Application Requires the Applicant's signature to be Notarized.

## Instructions for the National Racing Compact Program "NRC"

**GENERAL INFORMATION,** Winners can't

afford to be slowed down by paperwork. That's why the Compact has developed a One-Stop Licensing service, so that you can call our staff and race in 24 major jurisdictions. Rather than chasing paper across the country, we're here to handle your application, stable registration, state fee payments, and renewals. No more worrying about record keeping in several states. One application. One office to call (add a state at any time). One office to pay. One for renewals. **APPLICATIONS.** One application is all you need, plus fingerprints and 2 photos. **FINGERPRINTS.** The Compact retains your fingerprints and you will never be FBI fingerprinted again. Call us for NY state card. **FEES.** Good for 3 years at \$75 per year (plus state fees), including FBI fingerprints and our staff service. Pay us with one check or a credit card. **LICENSE CRITERIA.** Our standards are comparable to the strictest criteria of the participating states. Our criteria are outlined on our website or call us. Be sure you qualify before you apply, our fee is not refundable. **WHERE TO APPLY.** Mail your application, fingerprint card(s), 2 photos, and payment to NRC, 2343 Alexandria Drive, Ste 200, Lexington, KY 40504. Apply online at [www.racinglicense.com](http://www.racinglicense.com) or contact us at [nrcsupport@racinglicense.com](mailto:nrcsupport@racinglicense.com) or (859) 224-0584 fax (859) 224-7071.

**Circle the states where you want to race and applicable fees.** For some fees, we are asking that you call (877) 457-2538.

AR \$20 AZ \$36 CA \$150 CO \$15 DE \$50 1 yr, \$150 3 yr FL \$40 1 yr, \$120 3 yr KY \$100 LA \$25 1 yr, \$75 3 yr LA colors \$25 1 yr, \$75 3 yr IL \$25 IN \$35 IA \$10 MD new \$425 MD renew \$400 MI \$25 NE 0 NJ \$50 1 yr, \$150 3 yr NY new \$175 1 yr, \$225 2 yr, \$275 3 yr NY renew \$50 1 yr, \$100 2 yr, \$150 3 yr OH \$50 OK \$50 1 yr, \$120 3 yr ONT new \$120 ONT renew \$100 PA call TX \$75 1 yr, \$150 2 yr, \$225 3 yr VA \$10 WA call WV \$15 WY \$35

**Calculate National License Compact Fee \$225.00 (includes a three (3) year license, FBI record and staff assistance)**  
**Your fee: Total State / Ontario fees +**

**TOTAL Amount Enclosed =**

**NOTE: To use credit card, please complete below lines:**

Credit Card: ☐ Master Card ☐ Visa

Name on Credit Card \_\_\_\_\_

Exp. Date